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OF COUNSEL:  
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OUR FILE NO.

UCIVN-044US

## FACSIMILE COVER SHEET

Date: October 6, 2006

To: Commissioner for Patents  
Alexandria, VA 22313-1450

Facsimile: (571) 273-8300

Re: U.S. Patent Application No. 10/567,712  
(Int. App. No. PCT/US04/25586)  
Inventor(s): Guan et al.  
Title: "Macrocyclic Metal Complexes and Their Uses as Polymerization Catalysts"

From: Robert D. Buyan

Total No. of Pages: 10 (including this form). Please notify us immediately if you have not received all pages.

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Attached: Response to Notification of Missing Requirements (2 pgs.)  
Copy of Notification of Missing Requirements (2 pgs.)  
Declaration of Inventorship and Limited Power of Attorney (2 pgs.)  
Power of Attorney by Assignee and Exclusion of Inventor(s) under 37  
C.F.R. 3.71 (1 pg.)  
Recordation Form Cover Sheet (1 pg.)  
Assignment (1 pg.)

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Atty. Docket No. UCI-VN-044US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Guan et al.

U.S. App. No.: 10/567,712

Int. App. No.: PCT/US04/25586

For: Macrocyclic Metal Complexes and  
Their Uses as Polymerization  
Catalysts

Art Unit: Unassigned

Examiner: Unassigned

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this document is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 6, 2006

By:

Nancy V. McElrath

**Response to Notification of Missing Requirements  
Transmittal Letter**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed August 8, 2006, enclosed are the following:

- ☒ A copy of the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (2 pgs.).
- ☒ A Declaration of Inventorship and Limited Power of Attorney (2 pgs.).
- ☒ A Power of Attorney by Assignee and Exclusion of Inventor(s) under 37 C.F.R. 3.71 (1 pg.).
- ☒ An Assignment and a Recordation Form Cover Sheet (2 pgs.).

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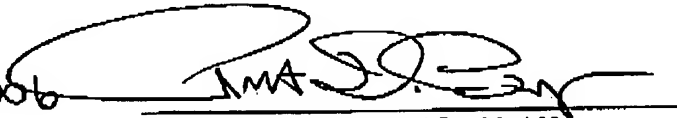
Atty. Docket No. UCTVN-044US

- ☒ Applicant hereby claims small entity status.
- ☒ The Commissioner is hereby authorized to charge the assignment recordation fee (\$40), surcharge (\$65) and any underpayment or credit any overpayment of the filing fees required under 37 CFR § 1.16 and any patent application processing fees required under 37 CFR § 1.17 to Deposit Account No.50-0878.

Respectfully submitted,

STOUT, UXA, BUYAN & MULLINS, LLP

Date:

October 6, 2006 

Robert D. Buyan, Reg. No. 32,460

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UNITED STATES PATENT AND TRADEMARK OFFICE

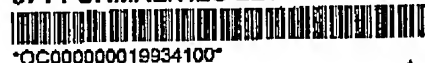
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Address: COMMISSIONER FOR PATENTS  
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U.S. APPLICATION NUMBER NO. 10/567,712	FIRST NAMED APPLICANT Zhibin Guan	ATTY. DOCKET NO. UCIVN-044US
INTERNATIONAL APPLICATION NO. PCT/US04/25586		
I.A. FILING DATE 08/06/2004	PRIORITY DATE 08/07/2003	

33197  
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CONFIRMATION NO. 3957

371 FORMALITIES LETTER



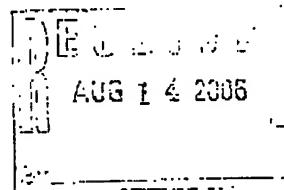
\*OC000000019934100\*

Date Mailed: 08/08/2006

*Response Due 10/8/06***NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/07/2006
- Copy of the International Search Report filed on 02/07/2006
- Copy of references cited in ISR filed on 02/07/2006
- U.S. Basic National Fees filed on 02/07/2006
- Priority Documents filed on 02/07/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

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MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/567,712	PCT/US04/25586	UCIVN-044US

FORM PCT/DO/EQ/905 (371 Formalities Notice)